

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Harrison Seth Loring</u> <hr/> (Last) (First) (Middle) <u>C/O STROKE THERAPEUTICS, INC.</u> <u>45 WIGGINS AVENUE</u> <hr/> (Street) <u>BEDFORD MA 01730</u> <hr/> (City) (State) (Zip)			2. Issuer Name and Ticker or Trading Symbol <u>Stroke Therapeutics, Inc. [ STOK ]</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)		
			3. Date of Earliest Transaction (Month/Day/Year) <u>06/21/2019</u>					
			4. If Amendment, Date of Original Filed (Month/Day/Year)					
			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person					

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	06/21/2019		C <sup>(1)</sup>		4,980,168	A	\$0 <sup>(1)</sup>	4,980,168	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>
Common Stock	06/21/2019		C <sup>(1)</sup>		7,617,746	A	\$0 <sup>(1)</sup>	12,597,914	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>
Common Stock	06/21/2019		C <sup>(1)</sup>		2,799,910	A	\$0 <sup>(1)</sup>	15,397,824	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>
Common Stock	06/21/2019		P		1,388,889	A	\$18	16,786,713	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series A Convertible Preferred Stock	(1)	06/21/2019		C <sup>(1)</sup>			4,980,168	(1)	(1)	Common Stock	4,980,168	\$0	0	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>
Series A-2 Convertible Preferred Stock	(1)	06/21/2019		C <sup>(1)</sup>			7,617,746	(1)	(1)	Common Stock	7,617,746	\$0	0	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>
Series B Convertible Preferred Stock	(1)	06/21/2019		C <sup>(1)</sup>			2,799,910	(1)	(1)	Common Stock	2,799,910	\$0	0	I	By Apple Tree Partners IV, L. P. <sup>(2)</sup>

**Explanation of Responses:**

- The shares of Series A Convertible Preferred Stock, Series A-2 Convertible Preferred Stock, Series B Convertible Preferred Stock automatically converted into the Issuer's Common Stock on a 1-for-1 basis upon the closing of the Issuer's initial public offering on June 21, 2019.
- Apple Tree Partners IV, L.P. ("ATP IV"). ATP III GP, Ltd. ("ATP III"), is the sole general partner of ATP IV. Reporting Person is the sole director of ATP III and may be deemed to have sole voting and dispositive power over the shares held by ATP IV. Dr. Harrison disclaims beneficial ownership of the shares except to the extent of his pecuniary interest therein.

/s/ Stephen Tulipano, Attorney-in-Fact 06/21/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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